



## PRIVACY IMPACT ASSESSMENT (PIA)

For the

Electronic Grants System (EGS)

US Army Medical Command - Defense Health Program (DHP) Funded Application

### **SECTION 1: IS A PIA REQUIRED?**

**a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).**

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel\* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

\* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

**b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.**

**c. If "Yes," then a PIA is required. Proceed to Section 2.**

**SECTION 2: PIA SUMMARY INFORMATION**

**a. Why is this PIA being created or updated? Choose one:**

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

**b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?**

- Yes, DITPR** Enter DITPR System Identification Number
- Yes, SIPRNET** Enter SIPRNET Identification Number
- No**

**c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?**

- Yes**
- No**

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

**d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes**
- No**

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.  
Consult the Component Privacy Office for additional information or  
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

**Date of submission for approval to Defense Privacy Office**

Consult the Component Privacy Office for this date.

**e. Does this DoD information system or electronic collection have an OMB Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

**Yes**

**Enter OMB Control Number**

**Enter Expiration Date**

**No**

**f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.**

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

Section 113, Title 10 United States Code: Secretary of Defense  
Public Law 106-107, Federal Financial Assistance Management Improvement Act  
Federal Acquisition Regulations, Part 4.5  
DoD Directive 3210.06, Defense Grant and Agreement Regulatory System (DGAR), dated February 6, 2014.

**g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.**

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

The purpose of the Electronic Grants System (EGS) is to allow the Office of Congressionally Directed Medical Research Programs (CDMRP), its supporting agencies, and its contractors to electronically manage data on awarded scientific awards. EGS is the US Army Medical Research and Materiel Command (USAMRMC) restricted back-end data repository used to manage awards by Government employees and its support contractors. The information collected is necessary for the management of awards made to research institutions. Information is initially collected from Grants.gov and the CDMRP Electronic Biomedical Research Application Portal (eBRAP) systems, the front end interfaces that are utilized by investigators and research organizations. The types of information collected are required for government oversight and to fulfill contracting requirements including individuals names and business contact information. The PII is not retrieved using a name or unique identifier. A user of the EGS application can perform a search using the name of the Principal Investigator(PI). However, the record that is returned as a result of this type of search would contain links to any research proposals they have submitted or a list of current or previous grants they have been awarded. The returned record does not contain any PII information about the individual PI.

This system collects and manages the following personal information: Name, Race/Ethnicity, Employment Information, Education Information, Business Telephone, Business Email Address and Business Mailing Address.

This PIA updates the EGS PIA approved on 12 June 2013.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

The privacy risks associated with the PII collected are unauthorized access and unauthorized disclosure. There are physical, technical, and administrative controls in place to secure, protect and preserve the confidentiality of the information stored. The specific security safeguards are addressed in Section 3 below.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.**

**Within the DoD Component.**

Specify.

PII may be shared with the following USAMRMC personnel: Program Managers, Grants Managers, Administrative Support Personnel, Grants Specialists, Budget Specialists, Contract Specialists, Procurement Technicians, Contracting Officers, Accounting Personnel, Research Administration Support, Human Subject Reviewers, Animal Care and Use Reviewers, Office of Research Protections Managers, Environmental Officer, Safety Review Specialists, System Developers, System Administrators, Directorate of Information Management Technical Staff, and the Security and Information Assurance Staff.

**Other DoD Components.**

Specify.

**Other Federal Agencies.**

Specify.

**State and Local Agencies.**

Specify.

**Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

The Henry M. Jackson Foundation, All Native Inc., and CSRA Inc.. All contractor personnel accessing the data have taken government mandated training. The contractors have signed a non-disclosure statement attesting to maintaining the confidentiality of the PII. In addition, the "TRICARE Management Activity Privacy Office Standard Contract Language for Protection of Personal Information.

**Other** (e.g., commercial providers, colleges).

Specify.

**i. Do individuals have the opportunity to object to the collection of their PII?**

**Yes**

**No**

(1) If "Yes," describe method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object.

The PII for this system is not collected directly from the individual. The PII is obtained from existing DoD information systems and records.

**j. Do individuals have the opportunity to consent to the specific uses of their PII?**

**Yes**

**No**

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The PII for this system is not collected directly from the individual. The PII is obtained from existing DoD information systems and records.

**k. What information is provided to an individual when asked to provide PII data?** Indicate all that apply.

- |   |  |
|---|--|
| <input type="checkbox"/> <b>Privacy Act Statement</b> | <input type="checkbox"/> <b>Privacy Advisory</b> |
| <input type="checkbox"/> <b>Other</b>                 | <input checked="" type="checkbox"/> <b>None</b>  |

Describe each applicable format.

The PII for this system is not collected directly from the individual. The PII is obtained from existing DoD information systems and records.